# A novel Terrain Correction Sinusoidal Model for improving estimation of daily clear-sky downward shortwave radiation

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Abstract-Downward shortwave radiation (DSR) is greatly affected by rugged terrains, which account for about 24% of the world's surface. Yet, existing DSR products do not take into account topographical effects. Some topographic correction algorithms have been developed for estimating the clear-sky instantaneous DSR over rugged terrains (DSRins-rugged), but no specific algorithms are available to get the daily average DSR over rugged terrains (DSR<sub>daily-rugged</sub>). The objective of this study is to develop an efficient and robust model to retrieve the clear-sky DSR<sub>daily-rugged</sub> based on DSR satellite products. After examining ground measurements collected from several mountainous sites over the Chengde Experimental Area in China, we found that the clear-sky DSR<sub>ins-rugged</sub> over a day follows a pseudo-sine curve, depending on aspect, slope, and other terrain factors, which form the foundation of our Terrain Correction Sinusoidal Model (TCSM). TCSM also includes a new simple shadow correction method. Validation against ground measurements showed that shadow-corrected clear sky TCSM DSR<sub>daily-rugged</sub> estimated from in situ measurements, is highly accurate with an RMSE of 9.69 Wm<sup>-2</sup>, Bias of 0.93 Wm<sup>-2</sup> and R<sup>2</sup> of 0.99. After applying TCSM to correct the topographic effects of both the CERES

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Shaopeng Li is with Institute of Geography and Oeschger Centre for Climate Change Research, University of Bern, CH-3012 Bern, Switzerland (email: shaopeng.li@unibe.ch) SYN1deg\_Ed4A and MCD18A1 C6 DSR products, the accuracies significantly improved, with the validated RMSE reduced from 63.60 and 64.51 to 14.03 and 12.60 Wm<sup>-2</sup>, the Bias from -38.58 and -36.93 to 5.53 and -7.17 Wm<sup>-2</sup>, and R<sup>2</sup> from 0.46 and 0.44 to 0.97 and 0.98, respectively. Additionally, the TCSM can be easily applied to other DSR products that do not consider the topographic effects.

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*Index Terms*—daily downward shortwave radiation, rugged terrain, clear-sky, Terrain Correction Sinusoidal Model, estimation, CERES, MCD18, remote sensing

NOMENCLATURE

Parameter	Description		
α	Daily surface broadband albedo		
φ	Latitude		
δ	Sun declination		
$ heta_{ heta}$	Solar zenith angle		
$\varphi_0$	Solar azimuth angle		
$\theta_i$	Angles between the incident ray and the		
	slope surface normal (solar illumination		
	angle)		
$u_0$	Cosine of $\theta_0$		
$u_s$	Cosine of $\theta_i$		
Φ	A binary function; $\Phi=0$ if obstructed and		
	$\Phi=1$ if not		
S	Slope		
A	Aspect of slope		
$V_d$	Sky view factor		
$V_c$	Terrain view factor		
$D_{dir-ins-flat}$	Instantaneous direct radiation at a flat		
	surface		
$D_{dif\text{-}ins\text{-}flat}$	Instantaneous diffuse radiation at a flat		
	surface		
DSR <sub>ins-flat</sub>	Instantaneous downward shortwave		
	radiation at a flat surface		
$D_{\it dir-ins-rugged}$	Instantaneous direct radiation over a rugged		
	surface		
$D_{\it dif-ins-rugged}$	Instantaneous diffuse radiation over a rugged		
	surface		
DSR <sub>ins-rugged</sub>	Instantaneous downward shortwave		
	radiation over a rugged surface		
$D_{ins}$ -ref-rugged	Instantaneous reflected solar radiation over a		
	rugged surface		
$D_{ref-rugged}$	Reflected solar radiation from the adjacent		
	regions		

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DSR <sub>daily-flat</sub>	Daily downward shortwave radiation over a		
	flat surface		
DSR <sub>daily-rugged</sub>	Daily downward shortwave radiation over a		
	rugged surface		
DSR <sub>flat-max</sub>	Maximum of daily DSR <sub>ins-flat</sub>		
DSR <sub>rugged-max</sub>	Maximum of daily DSR <sub>ins-rugged</sub>		
trugged-max	Time of <i>DSR</i> <sub>rugged-max</sub>		
t <sub>flat-max</sub>	Time of <i>DSR</i> <sub>flat-max</sub>		
t <sub>rise</sub>	Sunrise time		
t <sub>set</sub>	Sunset time		
t <sub>us_max</sub>	Maximum time of $u_s$ during one day		
<i>t</i> <sub>shadow</sub>	Lasting length of the shadow during daytime		
$Z_d$	Elevation of the adjacent pixels		
$Z_0$	Elevation of the target pixel		
d	Resolution of Digital Elevation Model		
	(DEM)		

## I. INTRODUCTION

OWNWARD shortwave radiation (DSR) with a spectral range of  $0.3-3.0\mu m$  is the remaining part of solar radiation at the top of the atmosphere after it has interacted with the atmosphere [1]. As one of the most essential components of the radiative balance, DSR drives the climate system and hydrological cycle and plays an important role in various applications, such as agriculture and forest meteorology, weather forecasting, climate monitoring, and so on [1-4]. Currently, there are several remote sensing or model reanalysis products that provide accurate DSR at various tempo-spatial resolutions [5-7]. However, the estimation of DSR over rugged terrain (DSR<sub>rugged</sub>), which accounts for 24% of the Earth's surface and greatly impacts DSR, is still a difficult task, especially at daily scales. Previous studies pointed out that topographic effects should be carefully considered in DSR estimation when its spatial resolution is less than 5 km [8], otherwise, the uncertainty in the estimates might be as large as 300 Wm<sup>-2</sup> or exceeding 600 Wm<sup>-2</sup> at instantaneous scales under clear sky [9]. For example, in the Northern hemisphere, the instantaneous DSR<sub>rugged</sub> (DSR<sub>ins-rugged</sub>) received on eastern slopes in the morning or the south-facing slopes and ridges is usually substantially larger than that on western slopes, or at canyon bottoms and north-facing slopes [10-12]. Moreover, a heightened significance of topography on DSR increases with the increase of slope [13, 14], the topographic radiative forcing (TRF) contributes 9.5% of the annual-average DSR in abrupt terrain (slopes >15°).

Under clear skies,  $DSR_{rugged}$  can be considered as consisting of direct solar radiation ( $D_{dir-rugged}$ ), diffuse solar radiation ( $D_{dif-rugged}$ ), and reflected radiation from the surrounding terrain ( $D_{ref-rugged}$ ). Rugged terrain influences  $DSR_{rugged}$  by altering these three major components mainly through their slope (S), aspect (A), and shadow effects [15, 16]. Taking  $D_{dir$  $rugged}$ , which dominates  $DSR_{rugged}$ , as an example, it is determined by A and S through changes in the solar illumination angle ( $\theta_i$ ) [17-19], and its magnitude might be reduced shadows cast by terrain [20, 21]. Therefore,  $DSR_{rugged}$ is usually obtained by summing its instantaneous components: instantaneous direct radiation over a rugged surface  $(D_{dir-ins-rugged})$ , instantaneous diffuse radiation over a rugged surface (D<sub>dif-ins-rugged</sub>), and instantaneous reflected solar radiation over a rugged surface (Dins-ref-rugged) [22]. Ddir-ins-rugged and  $D_{dif-ins-rugged}$  are derived by adjusting their corresponding instantaneous direct  $(D_{dir-ins-flat})$  and diffuse solar radiation over a flat surface  $(D_{dif-ins-flat})$  using terrain parameters related to S, A, and shadow [23, 24], including solar zenith angle  $(\theta_0)$ ,  $\theta_i$ , sky view factors  $(V_d)$ , and terrain view factors  $(V_c)$ . Meanwhile, Dins-ref-rugged can be calculated using the average surface broadband albedo ( $\alpha$ ) of the surrounding terrain. This method, known as the direct component formula (DCF) [10, 25, 26], has been validated extensively through comparisons with ground measurements and model simulations [27] and has been widely used in previous studies[9, 26]. However, the performance of DCF heavily depends on the accuracy of the input data, especially the parameters related to atmosphere and terrain [28]. Moreover, the variations in DSR<sub>rugged</sub> and how they are affected by different terrain factors have not been fully investigated.

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In contrast to DSR<sub>ins-rugged</sub>, there are relatively few studies on the more widely used daily average DSR<sub>rugged</sub> (DSR<sub>dailv-</sub> rugged), even though it is greatly impacted by the interactions of S, A, and shadows [10, 15, 29]. Due to the absence of a specific method for obtaining DSR<sub>daily-rugged</sub>, common extrapolation methods used for flat surfaces, including the direct average method and the sinusoidal model [30], which was proposed by Bisht et al. [31-33] under the assumption that solar radiation on a flat surface varies conforming a sine wave pattern throughout the day, are often directly applied to DSRinsrugged to estimate DSR<sub>daily-rugged</sub>. However, Yan et al. [10] found that the uncertainty in the DSR<sub>daily-rugged</sub> estimated from these methods could be as large as 60 Wm<sup>-2</sup> under clear sky, particularly for the DSR<sub>ins-rugged</sub> obtained from the remotely sensed data with low temporal resolution (i.e., once daily) or without a noon overpass [10, 30]. Therefore, there is an urgent need for a reasonable and effective method to fully consider topographical effect to temporally extend DSR<sub>ins-rugged</sub> to retrieve *DSR*<sub>daily-rugged</sub>.

In this study, after thoroughly analyzing DSR<sub>rugged</sub> at both instantaneous and daily scales, a new model called the Topographic Correction Sinusoidal Model (TCSM) has been developed. The TCSM can characterize the theoretical variations of DSR<sub>rugged</sub> on any clear day across various types of terrain. That is to say that for a specific mountain location, TCSM can easily estimate DSR<sub>ins-rugged</sub> and the corresponding DSR<sub>daily-rugged</sub> under clear sky conditions using in situ measurements or remotely sensed data providing the needed instantaneous DSR-related parameters. The performance of TCSM has been fully evaluated against ground measurements using different data sources as inputs. Note that only clear-sky condition was discussed in this study. The remainder of this paper is organized as follows: Section 2 introduces the data and their pre-processing, Section 3 provides an overview of TCSM, Section 4 presents the evaluation results of TCSM, and Section 5 delivers the discussions and conclusions.

## II. DATA AND PRE-PROCESSING

This study used ground measurements and remotely sensed data. The ground measurements were collected from seven sites over rugged terrain. The remotely sensed data included Clouds and Earth's Radiant Energy Systems synoptic Edition4 (CERES-SYN1deg\_Ed4A, hereinafter CERES4) and MCD18A1 C6 (MCD18 for short), which provide direct, diffuse solar radiation and DSR, Shuttle Radar Topography Mission Digital Elevation Model (SRTM DEM) data, and the Global LAnd Surface Satellite (GLASS) broadband albedo product. More details are introduced below.

## A. Ground measurements

The DSR ground measurements were from seven sites over the Chengde Experimental Area (42.3°N-42.5°N, 117.3°E-117.5°E), located in the Saihanba Forest Park of Chengde, Moon Mountain, on the eastern margin of the Xiaoluanhe River Basin, northeastern China (Fig. 1). This region has a distinct topographic relief, with elevations ranging between 1272–1654 m and most slopes around 30°. Its major land cover is grassland and the slope is uniform, which facilitates the installation and maintenance of ground measurement sites.



Fig. 1. (a-b) Geographic location and elevation of the study region, and spatial distribution of the seven sites (site1–7); (c) the radiometer is set parallel to the inclined surface; (d) the aspect of each site.

Detailed information about the sites is listed in Table I. The seven sites are situated in proximity to each other, predominantly mostly on medium slopes ( $20^{\circ} - 30^{\circ}$ ), except for sites 5 and 1, but at different aspects (Fig. 1d). EKO or CNR4 radiometers were installed at all sites at a height of ~1.5m to measure solar radiation at one-minute intervals [10, 27, 34]. The instruments were mounted parallel to the slope of the terrain (Fig. 1c) as this is considered necessary to measure the DSR over rugged terrain [10]. Eq. (1) is the mathematical expression of  $\theta_i$  (in degrees from the normal to the terrain):

$$u_s = \cos \theta_i = u_0 \times \cos S + \sin \theta_0 \times \sin S \times \cos(\varphi_0 - A)$$
(1)

$$u_0 = \cos \theta_0 \tag{1a}$$

where  $\varphi_{\theta}$  (in degrees from north) is the solar azimuth angle and  $\theta_{\theta}$  (in degrees from the vertical direction) is the solar zenith angle, which were both calculated from the Solar Position Calculator [35]. Note that the measurements at site7 were of suboptimal quality due to the inclination of the measuring instrument, which was prone to tilting because of the steep slope.

TABLE I DETAILED INFORMATION ABOUT THE SEVEN SITES IN THE CHENGDE EXPERIMENTAL AREA

Site	Latitude	Longitude	Elevation	S	A
No.	(°)	(°)	(m)	(°)	(°)
site1	42.397	117.399	1848.551	9	85
site2	42.397	117.398	1848.427	19	269
site3	42.393	117.397	1852.700	26	196
site4	42.393	117.395	1810.066	22	285
site5	42.394	117.392	1700.166	2	47
site6	42.396	117.390	1756.811	29	189
site7	42.387	117.400	1838.169	30	138

All radiative measurements in the Chengde Experimental Area were strictly quality-controlled by removing all unreasonable outliers and are therefore deemed of good quality. These measurements have been successfully applied in other studies [26, 36]. This study used only the quality-controlled DSR observations at sites 1–7 from 2018 to 2019 recorded during clear-sky conditions. For convenience, a half-hour was defined as the instantaneous scale, following Ma et al. [26]. Hence, all screened 1-minute measurements were first aggregated into half-hour averages, centered on each half-hour during the daytime, with no missing data allowed. Subsequently, these half-hour averages were aggregated into daily averages, again without any missing data. Finally, a total of 228 daily samples for clear days were obtained for independent validation.

## B. Remotely sensed data

## 1) Satellite product providing $D_{dir-ins-flat}$ , $D_{dif-ins-flat}$ , and $DSR_{ins-flat}$

#### a) CERES4

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Studies have shown that the DSR from CERES-SYN1deg Ed4A published by the National Aeronautics and Space Administration (NASA; https://ceres.larc.nasa.gov, last accessed 23/Aug/2024) is one of the most accurate global radiative products [37-39]. Its radiative components, including D<sub>dir-ins-flat</sub>, D<sub>dif-ins-flat</sub>, and instantaneous downward shortwave radiation at flat surface ( $DSR_{ins-flat}$ ), are calculated using the Langley Fu-Liou radiative transfer model. This model calculates radiative transfer without considering topographic effects and incorporates cloud and aerosol parameters derived from the Moderate Resolution Imaging Spectroradiometer (MODIS), geostationary satellites (GEO), and the Global Modeling and Assimilation Office (GMAO) [40] at a spatial size of 1° and at hourly intervals from 2000 onward. In this study, CERES4 was first resampled into a half-hour resolution, after which the corresponding CERES4 Ddir-ins-flat, Ddif-ins-flat, and DSR<sub>ins-flat</sub> in 2018 and 2019 were extracted at local times

<sup>3</sup> 

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for the six mountainous sites. Site 5, which was located on a flat surface, was excluded from this process. b) MCD18

MCD18A1 C6 product, released by NASA The (https://lpdaac.usgs.gov/, last accessed 23/Aug/2024), was generated using the look-up-table (LUT) method. This method uses top-of-atmosphere reflectance from MODIS and auxiliary data, including geographic locations, surface albedo from MCD43A3, vapor pressure from Modern-era Retrospective Analysis for Research and Applications, Version 2 (MERRA2), elevation from the Global 30 Arc-Second Elevation data set (GTOPO30), and surface reflectance climatology data [37]. Compared to CERES4, MCD18 only provides D<sub>dir-ins-flat</sub>, D<sub>dif-ins-flat</sub>, and DSR<sub>ins-flat</sub> at satellite overpass time, in addition to providing DSR<sub>ins-flat</sub> every three hours at a spatial resolution of 5 km. In this study, the  $D_{dir-ins-flat}$ ,  $D_{dif-ins-flat}$ , and DSR<sub>ins-flat</sub> corresponding to the overpass times of MCD18 were extracted at six of the sites (excluding site 5). Moreover, the three-hourly DSR<sub>ins-flat</sub> were also extracted to be used for evaluation purposes. All data were converted to local time.

## 2) GLASS broadband albedo product

GLASS surface broadband The albedo product (http://glass.umd.edu/, last accessed 23/Aug/2024) is derived directly from MODIS and the Advanced Very High-Resolution Radiometer (AVHRR) satellite reflectance data using the LUT method. The LUT was established using site measurements and model simulations covering a range of regions, types of land cover, and seasons on a global scale [41-43]. Its high accuracy was confirmed through validation with the MODIS surface albedo product [42, 44, 45] and other model simulation products [46]. In this study, albedo  $\alpha$  at a spatial resolution of 250 m and a temporal resolution of four days in 2018 and 2019 was extracted to match the ground measurements. The values of  $\alpha$  were considered constant within each four-day period by assuming that the variations in albedo could be neglected during these intervals. Furthermore, it was assumed that the  $\alpha$  of a point was the same as the averaged  $\alpha$  of the surrounding terrain, defined within a 3×3 window, following Ma et al. [26].

## 3) SRTM DEM

The DEM from SRTM (https://lpdaac.usgs.gov/, last accessed 23/Aug/2024) was generated by NASA, the National Geospatial-Intelligence Agency (NGA), and the German and Italian space agencies. Generated using radar interferometry, it covers about 80% of the global land surface between 60°N and 56°S. It has the WGS84 coordinate system and a spatial resolution of 1 rad/s (~30 meters) [47]. According to Tang et al. [48], SRTM is the most accurate global digital elevation dataset available. In this study, we assumed that each pixel represented a single slope surface [49, 50], and used the DEM to calculate S, A,  $V_d$ ,  $V_c$  and whether the target pixel was sheltered by neighboring pixels or not. Fig. 2 shows an example where the topographic factors of the target pixel  $Z_5$ are determined using the terrain information of the adjacent pixels in a 3×3 window, according to Wu et al. [22] applying Eq. (2).

Zı	$Z_2$	$Z_3$
$Z_4$	Zs	$Z_6$
<b>Z</b> <sub>7</sub>	Z <sub>8</sub>	Z9

Fig. 2.  $Z_1 - Z_9$  represent the elevations corresponding to each pixel.

$$f_{x} = \frac{Z_{8} - Z_{2}}{2 \times d}, f_{y} = \frac{Z_{6} - Z_{4}}{2 \times d}$$
(2)

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$$S = \arctan \sqrt{f_x^2 + f_y^2}$$
 (2a)

$$A = 270^\circ + \arctan(\frac{f_y}{f_x}) - 90^\circ \frac{f_y}{|f_x|}$$
(2b)

$$V_d = \frac{(1 + \cos S)}{2} \tag{2c}$$

$$V_c = 1 - V_d \tag{2d}$$

where d is the spatial resolution of 30 m of the SRTM DEM data.

V

In this study, the effect of shadows was also considered. An evaluation method proposed by Giles et al. [51] was used to determine whether a pixel was a shadow caused by adjacent terrain. The method is given in Eq. (3), and the adjacent region was defined as a  $3 \times 3$  pixel window, as suggested by Wu et al. [22] and Zhou et al. [52]. As shown in Eq. (3), if the elevation of any pixel in the adjacent region ( $Z_d$ ) of the target point is greater than the threshold elevation when it is just sheltered (h) of the target point, then the target point would be considered to be shadowed:

$$Z_d \ge h = Z_0 + d \times \tan(90 - \theta_0) \tag{3}$$

where  $Z_0$  is the elevation of the target pixel.

#### III. METHODOLOGY

#### A. TCSM introduction

#### 1) Mathematical expression of TCSM

After careful analysis, we found that the maximum of daily DSR<sub>ins-rugged</sub> (DSR<sub>rugged-max</sub>) and the corresponding time of DSR<sub>rugged-max</sub> (trugged-max) are crucial for determining the variations of DSR<sub>ins-rugged</sub>. Specifically, DSR<sub>rugged-max</sub> is affected by the combined effects of various factors, particularly S and A, in line with findings from previous studies [9, 29, 50, 53], while  $t_{rugged-max}$  is primarily determined by A. Fig. 3 shows the schematic diagram for the DSR variations of a specific point on a clear day. If the point is on a flat surface, then its DSR<sub>ins-</sub> flat varies conforming to a sinusoidal curve, peaking at the time of maximum of daily DSR<sub>ins-flat</sub> (t<sub>flat-max</sub>) of ~12:30hrs [31-33], as indicated by the black line in Fig. 3. If the point is on a due south ( $A=180^\circ$ ) or due north slope ( $A=0^\circ/360^\circ$ ), then the shape of its DSR<sub>ins-rugged</sub> throughout that day would remain unchanged except for the magnitude of DSR<sub>rugged-max</sub>, as depicted by the pink lines a or b. Hence, the  $DSR_{ins-rugged}$  can be expressed by Eq. (4) as the sinusoidal curve.



Fig. 3. Schematic diagram of the variation of clear-sky  $DSR_{rugged}$  curves (pink and blue lines) compared to the one from a flat surface (black line). The pink curves *a* and *b* show the variations of the  $DSR_{rugged}$  on the south and the north slopes, respectively, and the blue curves *c* and *d* show the variations of the  $DSR_{rugged}$  on the east and west slopes, respectively. The corresponding colored vertical dash lines indicate the maximum of DSR and its time.

However, if the point is on the eastern slope ( $A \in (0^{\circ}, 180^{\circ})$ )) or western slope ( $A \in (180^{\circ}, 360^{\circ})$ ), then the  $DSR_{rugged-max}$  and  $t_{rugged-max}$  both would change, with the  $t_{rugged-max}$  before or after  $t_{flat-max}$  (12:30hrs) respectively, as the blue lines c or d shown in Fig. 3. In this case, the variations of  $DSR_{ins-rugged}$  could be regarded as the pseudo sine curve adjusted from the sine curve (black line) by altering the location and magnitude of its peak. Thereby, the pseudo sine curve consists of two sine segments with the same peak of  $DSR_{rugged-max}$  and  $t_{rugged-max}$  acting as the cut-off point, with one segment extending from the sunrise time ( $t_{rise}$ ) to  $t_{rugged-max}$  and the other one from  $t_{rugged-max}$  to sunset time ( $t_{sel}$ ), mathematically expressed as Eq. (5) modified from Eq.(4).

when  $A = 0^{\circ}(360^{\circ})/180^{\circ}$ ,

$$DSR_{rugged}(t) = DSR_{rugged-max} \times \sin[(\frac{t-t_{rise}}{t_{set}-t_{rise}})\pi],$$
<sup>(4)</sup>

when  $A \in (0^{\circ}, 180^{\circ}) \cup (180^{\circ}, 360^{\circ})$ ,

$$DSR_{rugged}(t) = \begin{cases} DSR_{rugged-max} \times \sin[(\frac{t - t_{rise}}{(t_{rugged-max} - t_{rise}) \times 2})\pi], \\ t \le t_{rugged-max} \\ DSR_{rugged-max} \times \sin[(\frac{t_{set} - t}{(t_{set} - t_{rugged-max}) \times 2})\pi], \\ t > t_{rugged-max} \end{cases}$$
(5)

where *t*<sub>rise</sub> and *t*<sub>set</sub> could be calculated as:

$$t_{rise} = 12 - \frac{1}{15} \times \arccos[-\tan(\varphi) \times \tan(\delta)]$$
 (6)

$$t_{set} = 12 + \frac{1}{15} \times \arccos[-\tan(\varphi) \times \tan(\delta)]$$
(7)

where  $\varphi$  is the latitude (in radians), and  $\delta$  is the solar declination (in radians).

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Therefore, the combination of Eqs. (4) and (5) is called TCSM, and it can be used to simulate  $DSR_{rugged}$  at any moment and place during daytime under clear-sky conditions. Accordingly, the corresponding  $DSR_{daily-rugged}$  could be calculated by averaging the integration of  $DSR_{ins-rugged}$  during  $t_{rise}$  and  $t_{set}$  over 24 hours, as Eqs. (8) and (9), respectively:

when 
$$A = 0^{\circ}(360^{\circ})/180^{\circ}$$
,  
 $DSR_{daily-rugged} = \frac{\int_{t_{rise}}^{t_{set}} DSR_{rugged}(t) dt}{24}$ 

$$= \frac{DSR_{rugged-max}}{12\pi} \times (t_{set} - t_{rise}),$$
(8)

when  $A \in (0^{\circ}, 180^{\circ}) \cup (180^{\circ}, 360^{\circ})$ ,

$$DSR_{daily-rugged} = \frac{\int_{t_{rise}}^{t_{rugged-max}} DSR_{rugged}(t) dt}{24} + \frac{\int_{t_{rugged-max}}^{t_{set}} DSR_{rugged}(t) dt}{24}$$
$$= \frac{DSR_{rugged-max}}{12\pi} \times (t_{rugged-max} - t_{rise})$$
$$+ \frac{DSR_{rugged-max}}{12\pi} \times (t_{set} - t_{rugged-max})$$
$$= \frac{DSR_{rugged-max}}{12\pi} \times (t_{set} - t_{rise}), \qquad (9)$$

From the two equations, it can be seen that the calculation of the clear-day  $DSR_{daily-rugged}$  for any place with a known Aonly depending on  $DSR_{rugged-max}$ . In this study, as long as  $t_{rugged-max}$  was determined,  $DSR_{rugged-max}$  could be obtained from ground measurements or calculated from the DSR satellite products. More details about the determination of  $t_{rugged-max}$  and  $DSR_{rugged-max}$  are introduced below.

## 2) Determination of *trugged-max* and *DSRrugged-max* in TCSM a) *trugged\_max*

In order to obtain  $t_{rugged-max}$ , the change in the  $t_{rugged-max}$  from the  $t_{flat-max}$  (~12:30hrs) for different A was examined firstly and the results shown in Fig. 4. As Fig. 4 shows,  $t_{rugged-max}$  is the same as  $t_{flat-max}$  if  $A=0^{\circ}$  (360°) or 180°. When A increases from 0° to 180°,  $t_{rugged-max}$  moves forward to  $t_{rise}$  and then moves backward to  $t_{flat-max}$  with the earliest  $t_{rugged-max}$  appearing at  $A=90^{\circ}$ ; whereas when A increases from 180° to 360°,  $t_{rugged-max}$ moves forward to  $t_{set}$  and then moves backward to  $t_{flat-max}$  with the latest  $t_{rugged-max}$  appearing at  $A=270^{\circ}$ . Theoretical,  $t_{rugged-max}$ with different A could be deduced from  $t_{flat-max}$ .



Fig. 4. Schematic diagram illustrating the changes of  $t_{rugged-max}$  with A by referring to  $t_{flat-max}$  (12:30 hrs) of the DSR sinusoidal curve on a flat surface.  $\Delta t$  represents the difference between  $t_{flat-max}$  and  $t_{rugged-max}$ .

However, in this study, due to the very limited available ground measurements, the determination of trugged\_max could only be referred to the time of the maximum  $u_s$  ( $t_{us}$  max) by considering the close relationship between  $DSR_{rugged}$  and  $u_s$ [9], and  $t_{us}$  max can be easily retrieved from Eq. (1). By setting different values of S (S  $\in$  (0°, 90°) at 1° intervals), A (A  $\in$  [0°, 360°) at 1° intervals), day of year (DOY  $\in$  [1, 365] at daily time steps), and half hour time steps, the comprehensive  $u_s$ samples were calculated from Eq. (1), and then the variations in  $t_{us max}$  were explored. Note that the  $\varphi_0$  and  $\theta_0$  in Eq. (1) were calculated from DOY and the specific moment. Our analysis found that  $t_{us max}$  is mainly influenced by A, and the  $t_{us max}$  is equal to 12:30hrs, 10:30hrs, 12:30hrs, and 14:30hrs for A is  $0^{\circ}$ ,  $90^{\circ}$ ,  $180^{\circ}$ , and  $270^{\circ}$ , respectively. The variations in  $t_{us}$  max with A is illustrated as the inner color circle in Fig. 5, in which the outmost circle represents A.

Afterwards, the "true" trugged max from the six mountainous sites were inter-compared with the corresponding simulated  $t_{us max}$ . For site1 (A=85°) and site2 (A=269°), which were near the due east and west slopes, their averaged trugged max were ~11:30hrs and ~13:30hrs, respectively, and trugged max was 12:30hrs at  $A=0^{\circ}(360^{\circ})/180^{\circ}$  as described above. Hence, if A increases from 90° to 180° and then 270°, then  $t_{us}$  max changes four hours in total from 10:30hrs to 12:30hrs and then 14:30hrs (inner colored circle in Fig. 5), but the corresponding trugged max changes only about two hours in total from 11:30hrs to 12:30hrs and then 13:30hrs (outer colored circle in Fig. 5). Therefore, it is reasonable to assume that  $t_{rugged max}$  varies with A similarly to  $t_{us}$  max but in the ratio of 1:2. Accordingly,  $t_{rugged max}$  was speculated to be 12:00hrs for  $A=30^{\circ}/150^{\circ}$  and 13:00hrs for  $A=210^{\circ}/330^{\circ}$ . Overall, due to the rugged terrain, us varied differently compared to DSR<sub>ins-rugged</sub>, which means  $t_{rugged max}$  is not the same as  $t_{us max}$ , except when A is 0°(360°) or 180°.  $t_{rugged_max}$  is later than  $t_{us_max}$  when  $A \in (0^\circ, 180^\circ)$  and vice versa when  $A \in (180^\circ, 360^\circ)$ . Note that the  $t_{rugged_max}$  from measurements represents an average value, with a typical range of plus or minus half an hour.



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Fig. 5. Variations of  $t_{us-max}$  (the inner colored circle) and  $t_{rugged-max}$  (the outer colored circle) with A (the outermost circle). Blue indicates that  $t_{rugged-max}$  is before 12:30hrs, and vice versa for orange color.

Combining the inner and outer colored circles, trugged max decreases half hour per 30° of A for  $A \in [0^\circ, 30^\circ] \cup [150^\circ, 180^\circ]$ and half hour per 60° of A for  $A \in [30^\circ, 150^\circ]$  (blue in Fig. 5), whereas  $t_{rugged max}$  would increase half hour per 30° of A for A  $\in$  [180°, 210°]  $\cup$  [330°, 360°] and half hour per 60° of A for A  $\in$  [210°, 330°] (orange in Fig. 5). Hence, A from 0~360° is divided into eight bins (A=0°, 30°, 90°, 150°, 180°, 210°, 270°, and 330°), and the corresponding theoretical averaged trugged max for all slopes in these bins are 12:30hrs, 12:00hrs, 11:30hrs, 12:00hrs, 12:30hrs, 13:00hrs, 13:30hrs, 13:00hrs, respectively. Thus, for any values of A, its averaged  $t_{rugged max}$ is determined by the bin it belongs to, and it is suggested to select the moment whose A is the closest to. But for those aspects near the median value of one bin, it is suggested to take the moment whose A is close to  $0^{\circ}$  (360°) or 180° as their averaged  $t_{rugged-max}$ . For example, the A of site6 was 189°, thus belonging to bin [180°, 210°]; so, its averaged  $t_{rugged max}$  should be 12:30hrs as 189° was closer to 180° than 210°; while the A of site3 was 196°, which was in the middle of bin [180°, 210°]; so, its averaged trugged-max was determined as 12:30hrs. Similarly, the obtained averaged  $t_{rugged-max}$  for site2 (A=269°) was 13:30hrs, for site4 (A=285°) was 13:30hrs, and for site7  $(A=138^{\circ})$  was 12:00 hrs. They all matched well with those obtained from the measurements basically. After validation, we found that the uncertainty of the TCSM DSR<sub>daily-rugged</sub> estimates resulted from the determination of trugged ma from Fig.5 (within 30min) was negligible.

#### b) *DSR*<sub>rugged-max</sub>

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With the known  $t_{rugged-max}$ , the corresponding  $DSR_{rugged-max}$  could be extracted from the measurements or calculated from the products providing the  $D_{dir-ins-flat}$ ,  $D_{dif-ins-flat}$ , and  $DSR_{ins-flat}$  at flat surface with DCF method [10, 25, 26]. Note that the three

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DSR related parameters were assumed the same in one pixel for any products.

$$DSR_{ins-rugged} = D_{dir-ins-rugged} + D_{dif-ins-rugged} + D_{ins-ref-rugged}$$
$$= D_{dir-ins-flat} \times (u_s / u_0) \times \Phi + D_{dif-ins-flat} \times V_d$$
$$+ V_c \times \alpha \times DSR_{ins-flat}$$
(10)

where  $D_{dir-ins-rugged}$  (Wm<sup>-2</sup>) and  $D_{dif-ins-rugged}$  (Wm<sup>-2</sup>) are the instantaneous direct and diffuse solar radiation over rugged terrain for a given point,  $D_{ins-ref-rugged}$  (Wm<sup>-2</sup>) is the reflected solar radiation from the adjacent region (within a 3×3 window);  $\alpha$  was obtained from the GLASS broadband albedo product, and  $\Phi$  represents the binary shadow function, with a value of 1 or 0, indicating whether the pixel receives direct radiation (determined by Eq. (3)) or not.

If the available measurements or products (i.e., CERES4) are temporal continuous, then the most convenient way is to resample them into a half-hour scale, so the in-situ  $DSR_{rugged-max}$  could be obtained directly from the measurements or calculated from the products at the exact moment of  $t_{rugged-max}$ . However, if the measurements or products are only available at times different than  $t_{rugged-max}$ , such as the  $D_{dir-ins-flat}$ ,  $D_{dif-ins-flat}$ , and  $DSR_{ins-flat}$  provided by MCD18 only at the satellite overpass time ( $t_{overpass}$ ) (see Fig. 6), then the  $DSR_{rugged-max}$  should be retrieved by interpolation.



Fig. 6. Schematic diagram of  $DSR_{rugged}$  at the satellite overpass time.

As shown in Fig. 6, the measurement sampling moment  $(t_{sampling})$  or  $t_{overpass}$   $(t_{sampling/overpass})$  might be before or after  $t_{rugged-max}$ . In this case, the  $DSR_{rugged-max}$  could be calculated through the  $DSR_{rugged}$  from measurement at  $t_{sampling}$  or calculated from the product at  $t_{overpass}$   $(DSR_{rugged}(t_{sampling/overpass}))$  according to Eq. (11). Note that the  $DSR_{rugged}(t_{sampling/overpass})$  for products should be computed at first using DCF (Eq. (10)).

$$if \quad t_{sampling/overpass} \leq t_{rugged-max},$$

$$DSR_{rugged-max} = \frac{DSR_{rugged}(t_{sampling/overpass})}{\sin[(\frac{t_{sampling/overpass} - t_{rise}}{(t_{rugged-max} - t_{rise}) \times 2})\pi]}$$
(11)

$$if \quad t_{sampling/overpass} > t_{rugged-max},$$

$$DSR_{rugged-max} = \frac{DSR_{rugged}(t_{sampling/overpass})}{\sin[(\frac{t_{set} - t_{sampling/overpass}}{(t_{set} - t_{rugged-max}) \times 2})\pi]}$$

If more than one  $DSR_{rugged}(t_{samping/overpass})$  was available in one day, then the maximum  $DSR_{rugged-max}$  was suggested to be used for the  $DSR_{daily-rugged}$  calculation. Besides, the interpolation method could also be applied to the temporal continuous measurements or products without resampling. However, it was found that the  $DSR_{rugged-max}$  obtained from the two methods would affect the  $DSR_{daily-rugged}$  estimation accuracy. More related discussions are provided in Section IV B, 2).

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#### 3) Shadow correction

The influence of the self-shadow ( $u_s < 0$ ) and the shadow cast by the adjacent terrain on  $DSR_{daily-rugged}$  is also taken into account in this study. From measurements, it was found that the shadow usually appears for a short period after sunrise and/or before sunset, but its occurrence time and duration are different over different kinds of terrain. Hence, the duration of the shadow ( $t_{shadow}$ ) could be estimated as long as the  $t_{rise}$ ,  $t_{set}$ , and the time for  $u_s=0$  ( $t_{u_s=0}$ ) are known. If  $t_{u_s=0}$  is in the morning (afternoon), then  $t_{shadow}$  (unit: h) is the period between  $t_{u_s=0}$  and  $t_{rise}$  ( $t_{set}$ ).

Based on in situ samples with shadows (No. of samples=154), their shadow occurrence time,  $t_{shadow}$ , and the residual  $\Delta DSR_{daily-rugged}$  which was calculated by minus the observations from their TCSM  $DSR_{daily-rugged}$  estimates were obtained, respectively. Afterwards, the scatter plot between the  $\Delta DSR_{daily-rugged}$  and the  $t_{shadow}$  for different shadow occurrence time is shown in Fig. 7.  $\Delta DSR_{daily-rugged}$  were mostly positive and increased with  $t_{shadow}$  ( $\leq$ 5h) when the shadow appeared at sunrise (red dots), and vice versa at sunset (black dots). Whereas the number of samples with shadows that appeared at both sunrise and sunset (green dots) was higher, the  $\Delta DSR_{daily-rugged}$  also negatively related to  $t_{shadow}$  ( $\leq$ 1.5h).



Fig. 7. Relationship between the  $\Delta DSR_{daily-rugged}$  (minus the corresponding measurements from the TCSM  $DSR_{daily-rugged}$  estimates) and the  $t_{shadow}$  in three cases: sunrise, sunset, and both.

Hence, the relationship between  $\Delta DSR_{daily-rugged}$  and  $t_{shadow}$  can be roughly divided into three cases, including shadows occurring at sunrise, sunset, and both, and modelled separately using the following linear regression method:

$$\Delta DSR_{daily-rugged} = \begin{cases} -3.618 \times t_{shadow} + 6.407, & sunset\\ 7.680 \times t_{shadow} + 8.512, & sunrise\\ -10.517 \times t_{shadow} + 23.235, sunrise & and sunset \end{cases}$$
(12)

Therefore, Eq. (12) is the shadow correction model to correct the TCSM  $DSR_{daily-rugged}$  estimates as long as the  $t_{shadow}$  was larger than 0. However, any findings have not been obtained about the  $DSR_{ins-rugged}$  influenced by shadow, so the TCSM  $DSR_{ins-rugged}$  estimates should be used with more cautions when shadow appeared.

## B. Model Performance Evaluation

The performance of TCSM in simulating  $DSR_{ins-rugged}$  and estimating  $DSR_{daily-rugged}$  was evaluated against ground measurements. Specifically, the accuracy of the TCSM  $DSR_{daily-rugged}$  estimated by taking the inputs from measurements and two remotely sensed products, including CERES4 and MCD18, was validated. Three statistical measures were used to characterize the validation accuracy: root mean square error (RMSE), Bias, and coefficient of determination (R<sup>2</sup>).

$$Bias = \frac{\sum_{i=1}^{N} (Y_i - X_i)}{N}$$
(13)

$$RMSE = \sqrt{\frac{1}{N} \sum_{i=1}^{N} (X_i - Y_i)^2}$$
(14)

$$R^{2} = 1 - \frac{\sum_{i=1}^{N} (X_{i} - Y_{i})^{2}}{\sum_{i=1}^{N} (X_{i} - \overline{X})^{2}}$$
(15)

where  $Y_i$  and  $X_i$  are the estimate and the measurement, and N represents the number of samples.

#### IV. RESULTS AND ANALYSIS

## A. TCSM DSR<sub>ins-rugged</sub> Simulations under Clear-sky

First of all, the changes of the two crucial parameters  $DSR_{rugged-max}$  and  $t_{rugged-max}$  in TCSM from those at a flat surface were examined using ground measurements. According to Liang et al. [14], the topographical effects on DSR could be negligible when  $S<10^{\circ}$ , hence the DSR measurements at site5 ( $S=2^{\circ}$ , Table I) could be taken as the one at flat surface and served as a reference for other mountainous sites (sites1–4 and site6) in Chengde Experimental area because of their close spatial distribution. Taken the variations of the  $DSR_{ins-rugged}$  on the 259th day of 2018 at sites 1–4 and 6 as examples shown in Fig. 8a–e in colored lines, and the site5 DSR curve on the same day was added in each plot in a black line for comparison. Meanwhile, the corresponding  $u_s$  of these sites is also shown with the

colored dashed line in these plots. Note that the red circles indicate the periods influenced by shadows.

Fig. 8 shows that site5 DSR varies conforming to a nearly theoretical sinusoidal curve, with its maximum value (DSR<sub>flat-</sub> <sub>max</sub>) appearing around 12:30 hrs. The times of  $t_{rise}$  and  $t_{set}$  are nearly the same as those of the other five sites, which further confirms the little topographic effects at site5 and justifies using its DSR as the reference for other sites. From this figure, the changes of trugged-max at these sites were coincident very well with Fig.5. Specifically, the  $t_{rugged-max}$  was nearly one hour before 12:30hrs for site1 (A=85°) (Fig. 8a), but nearly more than one hour after 12:30 hrs for site2 ( $A=269^{\circ}$ ) and site4  $(A=285^{\circ})$  (Fig. 8b and d); while it was nearly unchanged for site3 ( $A=196^{\circ}$ ) and site6 ( $A=189^{\circ}$ ) near the southern slope (Fig. 8c and e). Meanwhile, the time for  $t_{us}$  max (the intersection of the vertical dashed color line and x-axis in Fig. 8) was different with trugged-max, and their relation were generally matched that in Fig.5. For DSR<sub>rugged-max</sub>, the values were slightly smaller than the DSR<sub>flat-max</sub> for sites 1, 2, and 4, but were higher by 190-260 Wm<sup>-2</sup> at sites3 and 6 on the southern slope, which was possibly due to the longer exposure during the daytime on the sunny slope [54]. This supports the commonly observed underestimation of radiative components over rugged terrain, especially on sunny slopes [55, 56]. Additionally,  $DSR_{rugged-max}$  at site4 (S=22°) was smaller than that of site2 (S=19°), despite having similar aspects, indicating that the influence of S on  $DSR_{rugged-max}$  also exists. Hence, the descriptions on  $DSR_{rugged-max}$  and  $t_{rugged-max}$  in TCSM agreed well with the observations.

Afterwards, the variations in  $DSR_{rugged}$  across some clear days for sites 1–4 and 6–7 were simulated using TCSM by taking the corresponding  $DSR_{rugged-max}$  measurements as the inputs and are presented in Fig. 9a–f. The corresponding in situ measurements were added to each plot for comparison in color dashed lines.

Overall, the TCSM  $DSR_{ins-rugged}$  simulations under clear sky without shadow captured the variations of the measurements at all sites very well, but the overestimations were observed for some days in the periods near  $t_{rise}$  and  $t_{set}$ . Specifically, the  $DSR_{ins-rugged}$  was overestimated more significantly at the sites on the western slope, such as sites2 and 4 (Fig. 9b and d), while the least overestimation appeared at site6 (Fig. 9e) and 3 (Fig. 9c), which are close to the due south slope. This is consistent with the study of Yan et al. [10], which found that the closer to the south slope the smaller topographic effect on DSR. By combining with Fig. 8, the overestimation in the  $DSR_{ins-rugged}$  was mostly because of the shadows, which has not been considered in TCSM at instantaneous scale. However, the overestimation occurred at site7 (Fig. 9f) was mostly due to the poor quality of the measurements.

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Fig. 8. DSR measurements and corresponding  $u_s$  (cosine of the solar illumination angle, see Eq. (1)) during the daytime on the 259th day of 2018 at (a-d) sites 1 – 4 and (e) site 6. The DSR at site 5 is taken as the reference shown in each plot in black line, and the red circles indicate the periods during which the site was shadowed. The intersection of the vertical lines with the curves (x-axis) presents the maximum values of the DSR or the corresponding  $u_s$  (the corresponding times).



Fig. 9.  $DSR_{rugged}$  simulations from TCSM at the six mountainous sites (sites 1–4 and sites 6–7) on several clear days: (a) the 259th, 306th, 317th in 2018, and the 92nd, 141st, 148th in 2019 at site 1, (b) the 259th in 2018, and the 92nd, 141st, 148th in 2019 for site 2, (c) the 259th, 306th, 317th in 2018, and the 92nd, 141st, 148th in 2019 for site 3, (d) the 259th in 2018, and the 92nd, 141st, 148th in 2019 for site 3, (d) the 259th in 2018, and the 92nd, 141st, 148th in 2019 for site 6, and (d) the 148th in 2019 for site 7. Note that the dates shown on the x-axis are non-continuous.

#### B. TCSM DSR<sub>daily-rugged</sub> estimation validation accuracy

As described above,  $t_{rugged-max}$  could be easily determined by *A* according to Fig. 5, and then the corresponding  $DSR_{rugged-max}$ , which is needed for calculating  $DSR_{daily-rugged}$  with Eqs. (8) and (9), could be derived from measurements or products with the calculated  $t_{rise}$  and  $t_{set}$ . In addition, the  $DSR_{daily-rugged}$ , estimated from the Sinusoidal model by taking the measurements as inputs, were also validated for comparison. Note that only clear sky conditions were considered in this study.

## 1) DSR<sub>rugged-max</sub> from measurements

The scatter plot between the TCSM  $DSR_{daily-rugged}$  estimates and the ground measurements is shown in Fig. 10. Overall, the estimates agreed well with the in situ measurements, yielding an R<sup>2</sup> of 0.98, RMSE of 21.94 Wm<sup>-2</sup>, and Bias of 15.66 Wm<sup>-2</sup>. However, there was a tendency for overestimation, particularly at high values, when  $DSR_{daily-rugged} > 300$  Wm<sup>-2</sup>.

The accuracy of the estimated  $DSR_{daily-rugged}$  for each of the six sites is presented in Fig. 11a–f, showing that an overestimation in  $DSR_{daily-rugged}$  at high values happened nearly at every site but to different extents. For instance,  $DSR_{daily-rugged}$  was overestimated the least at sites 7 and 6, with their Biases of 6.67 and 8.04 Wm<sup>-2</sup>, respectively, and the most at sites 4 and 2, with Biases of 25.60 and 22.11 Wm<sup>-2</sup>, respectively. These results were consistent with those in Fig. 9, and further indicated the need to correct the uncertainty caused by the shadow in estimating  $DSR_{daily-rugged}$ , especially for western slopes.

Afterwards, all TCSM *DSR*<sub>daily-rugged</sub> estimates were corrected using the shadow correction method (Eq. (12)). The

results shown in Fig. 12 demonstrate that the final estimates and ground measurements were very close to the 1:1 line, even at high values. Compared to Fig. 10, the overall validation accuracy of the shadow-corrected TCSM  $DSR_{daily-rugged}$  estimates was substantially improved, with the RMSE and Bias reduced by 12.25 and 14.73Wm<sup>-2</sup>, respectively.

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Fig. 10. Overall validation accuracy of the TCSM  $DSR_{daily-rugged}$  estimations for clear days by taking the  $DSR_{rugged-max}$  measurements as the model input.



Fig. 11. Same as Fig. 10 but for six mountainous sites: (a) site 1, (b) site 2, (c) site 3, (d) site 4, (e) site 6, and (f) site 7.



Fig. 12. Same as Fig. 10 but after shadow correction.

#### 2) DSR<sub>rugged-max</sub> from the remotely sensed product

Two typical remotely sensed products, CERES4 and MCD18, providing  $D_{dir-ins-flat}$ ,  $D_{dif-ins-flat}$ , and  $DSR_{ins-flat}$  at the determined  $t_{rugged max}$ , were used to calculate the  $DSR_{rugged-max}$ first, which were then taken as the inputs for TCSM to calculate DSR<sub>daily-rugged</sub> for clear days. As introduced in Section III A. 2), there are two methods to estimate the DSR<sub>rugged-max</sub>. For MCD18, the DSR<sub>rugged-max</sub> values were only obtained from the calculated  $DSR_{rugged}(t_{overpass})$  according to Eq. (11), which, in turn, was calculated by Eq. (10). In the case of CERES4, the DSR<sub>rugged-max</sub> could be calculated at the exact trugged max according to Eq. (10) after being resampled, or it could be retrieved in the same manner as MCD18 without resampling. Note that when multiple *DSR*<sub>rugged-max</sub> estimates were available, only the highest one was used to compute DSR<sub>dailv-rugged</sub>. Hence, the TCSM DSR<sub>daily-rugged</sub> estimates, with the three kinds of inputs from MCD18, CERES4, and resampled CERES4 after shadow correction, were validated against a common set of ground measurements (No. of samples=145). The results are presented in Fig. 13a-c, respectively.

Generally, the accuracy of the three results was satisfactory and their estimates were distributed close to the 1:1 line, and their RMSE values ranging from  $12.60 \sim 18.67$ Wm<sup>-2</sup>, and the magnitude of biases ranging from  $5.53 \sim 9.93$ Wm<sup>-2</sup>. Against the same validation samples, the daily DSR from the original MCD18 and CERES4 showed much lower accuracy, yielding the RMSEs of 64.51 and 63.60 Wm<sup>-2</sup>, Biases of -36.93 and -38.58 Wm<sup>-2</sup>, and R<sup>2</sup> values of 0.44 and 0.46, respectively. Hence, the performance of the  $DSR_{daily-rugged}$ estimated from the newly proposed method in applications with different remotely sensed products was superiority. Specifically, the accuracy of the shadow-corrected TCSM DSR<sub>daily-rugged</sub> estimates from the DSR<sub>rugged-max</sub> calculated from MCD18 and CERES4 (Fig. 13a-b) were more accurate than those from the resampled CERES4 (Fig. 13c), yielding RMSEs of 12.60, 14.03, and 18.67 Wm<sup>-2</sup>, Biases of -7.17, 5.53, and -9.93 Wm<sup>-2</sup>, and R<sup>2</sup> values of 0.98, 0.97, and 0.95, respectively. Thereby, the uncertainty in the estimated DSR<sub>rugged-max</sub>, which has major influence on the calculation of DSR<sub>daily-rugged</sub>, was smaller from the interpolation method, and the lower uncertainty in the estimated DSR<sub>rugged-max</sub> retrieved according to Eq. (11) suggested that using it for subsequent calculations reduces uncertainty. Comparing the TCSM DSR<sub>daily-rugged</sub> estimates from MCD18 (Fig. 13a) and CERES4 (Fig. 13b), the former showed slightly better performance, with an RMSE decreased by 1.43Wm<sup>-2</sup>. However, MCD18 showed an underestimation (Bias= -7.17 Wm<sup>-2</sup>), while CERES4 showed an overestimation (Bias=5.53 Wm<sup>-2</sup>). After comparing with the accuracy of the TCSM DSR<sub>daily-rugged</sub> estimates but taking the in-situ measured DSR<sub>rugged-max</sub> as the input against the same samples (RMSE=6.79 Wm<sup>-2</sup>, Bias=-0.77 Wm<sup>-2</sup>, R<sup>2</sup>=0.99), it could be inferred that the uncertainty of the estimated DSR<sub>daily-rugged</sub> resulted from the DSR<sub>rugged-max</sub> calculated from MCD18 and CERES4 was 46.11% and 51.6%, respectively, and this uncertainty of DSR<sub>rugged-max</sub> was possibly caused by the product itself [57-60] and DCF method. Accordingly, the performance of the product itself and its different spatial resolutions also need to be taken into account in estimating DSR<sub>dailv-rugged</sub>. The more accurate of the product, the more accurate of TCSM estimates.

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In summary, TCSM performed very well in both *DSR*<sub>rugged</sub> simulation and *DSR*<sub>daily-rugged</sub> estimation over rugged terrain with inputs either from measurements or products.



Fig. 13. Overall validation accuracy of the shadow-corrected TCSM *DSR*<sub>daily-rugged</sub> estimates against the in situ measurements by using the common validation samples from (a) MCD18, (b) CERES4, and (c) resampled CERES4 as the inputs.



Fig. 14. Accuracy of the shadow corrected  $DSR_{daily-rugged}$  estimation from TCSM and the Sinusoidal model over the same in situ measurements through  $DSR_{ins-rugged}$  at each of times (10:30, 12:30, and 14:30hrs). (a–c) TCSM after shadow correction validation result at three times, (d–f) sinusoidal model over a flat surface at three times.

#### C. Comparison with the Sinusoidal Model

To better assess the temporal expansion capability of TCSM, we computed  $DSR_{daily-rugged}$  by expanding the  $DSR_{ins-rugged}$  measurements taken at 10:30hrs, 12:30hrs, and 14:30hrs using both TCSM and Sinusoidal model. Before that, the  $DSR_{rugged-max}$  should be calculated at first from the in-situ  $DSR_{ins-rugged}$  at the three moments. For TCSM, the  $DSR_{rugged-max}$  was computed by Eq.(11), while for the Sinusoidal model, the  $DSR_{rugged-max}$  was computed by referring to Bisht et al. [31-33].

After shadow correction, the validation results of the two models for the three times are presented in Fig. 14. From the six plots, the shadow-corrected DSR<sub>daily-rugged</sub> estimates from TCSM (Fig. 14a-c) outperformed those from Sinusoidal model (Fig. 14d-f), showing higher and more robust accuracy, yielding RMSEs ranging from 9.19 to ~27.02 Wm<sup>-2</sup> and 16.89 to ~43.29 Wm<sup>-2</sup>, and Biases ranging of -1.47~ -11.84 Wm<sup>-2</sup> and 4.39~10.94 Wm<sup>-2</sup>, respectively. Among all results, the estimates from DSR<sub>ins-rugged</sub> at 12:30hrs (Fig. 14b and e) exhibit greater accuracy than those from other moments for both models, with the best ones from TCSM yielding an RMSE of 9.19 Wm<sup>-2</sup>, Bias of -1.47 Wm<sup>-2</sup>, and R<sup>2</sup> of 0.99. This is consistent with previous findings that showed that the DSR<sub>ins-rugged</sub> at noontime related to the DSR<sub>daily-rugged</sub> the closest [10, 14]. Moreover, combining the results before shadow correction (not shown here) shows that TCSM has a tendency to underestimate the DSR<sub>daily-rugged</sub>, and vice versa for

Sinusoidal model, especially for sites on the western slope in the morning and the sites on the eastern slope in the afternoon.

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Therefore, all results demonstrate the superior ability of TCSM, especially in *DSR*<sub>daily-rugged</sub> estimation. TCSM is more suitable to be applied over rugged terrain.

#### V. CONCLUSION AND DISCUSSIONS

Given the significance of DSR, many algorithms and products have been developed for obtaining DSR. However, few of them take topographic effects into account; thus, the estimated DSR cannot satisfy the application requirements over mountainous regions. To address this issue, the variations of  $DSR_{rugged}$  under clear sky and their relationships with various terrain factors have been carefully analyzed using ground measurements collected from the Chengde Experimental Area to develop a new terrain correction model for  $DSR_{rugged}$  estimation named Terrain Correction Sinusoidal Model (TCSM).

TCSM assumes that on clear days,  $DSR_{rugged}$  follows a pseudo sinusoidal curve, whose maximum value ( $DSR_{rugged-max}$ ) and time ( $t_{rugged-max}$ ) are adjusted from the theoretical DSR sine curve from a flat surface under the same conditions based on A, S, and other terrain factors. Hence, the accurate determination of  $DSR_{rugged-max}$  and especially  $t_{rugged-max}$  is crucial for a satisfactory TCSM. We found that the changes of  $t_{rugged-max}$  were majorly affected by A. We established its qualitative relationship through the relationships between  $t_{rugged-max}$  and  $t_{us-max}$  and its variations with A. As long as the  $t_{rugged-max}$  is known,

the  $DSR_{rugged-max}$  can be obtained from measurements or calculated from products with the DCF method. With TCSM, the theoretical daytime clear-sky  $DSR_{rugged}$  and the corresponding  $DSR_{daily-rugged}$  can be estimated using minimal measurements or products that provide the  $D_{dir-ins-flat}$ ,  $D_{dif-ins-flat}$ , and  $DSR_{ins-flat}$ . Comparisons with ground measurements showed that the  $DSR_{rugged}$  simulations from TCSM generally agree very well, but shadow effects near sunrise and sunset need to be taken into account. Therefore, we propose a shadow correction method that reduces the influence of terrain-caused shadow effects on TCSM  $DSR_{daily-rugged}$ estimates by correlating estimation residuals with shadow occurrence and duration.

After validation against ground measurements, the performance of TCSM in estimating DSR<sub>daily-rugged</sub> was fully evaluated using either measurements or products as inputs. When using measurements, the shadow-corrected TCSM DSR<sub>daily-rugged</sub> estimates for clear days exhibited a satisfactory accuracy, with RMSE of 9.69 Wm<sup>-2</sup>, Bias of 0.93 Wm<sup>-2</sup>, and R<sup>2</sup> of 0.99. Additionally, TCSM was further compared with the Sinusoidal model by taking the measurements at 10:30, 12:30, and 14:30hrs as inputs. The results demonstrated that TCSM outperformed the Sinusoidal model, with a higher and more robust accuracy, yielding RMSEs in the range of 9.19~27.02 and 16.89~43.29 Wm<sup>-2</sup> and Bias ranging from -1.47~ -11.84 and 4.39~10.94 Wm<sup>-2</sup>, respectively. Similarly, when the inputs were from two remotely sensed products, CERES4 and MCD18, the accuracy of the two shadowcorrected TCSM estimated DSR<sub>daily-rugged</sub> remained high and improved upon the original products, with the one from MCD18 slightly outperforming that from CERES4, yielding RMSEs of 12.60 and 14.03 Wm<sup>-2</sup>, Biases of -7.17 and 5.53 Wm<sup>-2</sup>, and R<sup>2</sup> values of 0.98 and 0.97, respectively.

However, TCSM has several limitations that need to be addressed. First of all, the adaptability of the method to determine trugged-max in other places needs to be further examined. Second, the uncertainty of the DSR<sub>rugged-max</sub>, which can be calculated by the DCF method from products according to Eq. (10), has a remarkable influence on the results. The estimated DSR<sub>daily-rugged</sub> is more accurate when more  $DSR_{rugged}(t_{sampling/overpass})$ are available or the closer tsampling/overpass is to the trugged-max. Third, the shadow correction method was built based on limited samples and needs to be refined. Additionally, only single-slope scenarios have been considered in the application of TCSM because of the fine spatial resolution of the SRTM DEM used in this study. More experiments are also needed to support the application of TCSM on surfaces with high albedo and the possibility to expand TCSM for cloud sky.

Overall, this study reveals how DSR varies over rugged terrains, and proposes TCSM, a simple and effective model to simulate  $DSR_{rugged}$  and temporally expand it to  $DSR_{daily-rugged}$  under clear sky. The satisfactory results indicate the strong potential of TCSM for practical applications, with further validation and refinements underway.

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